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**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER  
12652-004001

U.S. APPLICATION NO. (If Known, see 37 CFR 1.5)  
**09/786847**

INTERNATIONAL APPLICATION NO.  
PCT/KR00/01024

INTERNATIONAL FILING DATE  
8 September 2000

PRIORITY DATE CLAIMED  
11 September 1999

TITLE OF INVENTION  
**RETROREFLECTIVE ARTICLE**

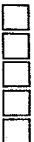
APPLICANT(S) FOR DO/EO/US  
Seok-II Yoon, Jong-Soo Baek and Seok-Cheol Choi

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 16 below concern other documents or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:



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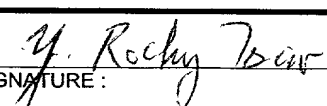
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*March 9, 2001*  
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*Samantha Bell*  
Signature

*Samantha Bell*  
Typed Name of Person Signing

|   |              |   |  |   |    |
|---|--------------|---|--|---|----|
| U.S. APPLICATION NO. (IF KNOWN)<br><b>09/786847</b>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/KR00/01024 |  | ATTORNEY'S DOCKET NUMBER<br>12652-004001  |    |
| 17. <input checked="" type="checkbox"/> The following fees are submitted:<br><br><b>Basic National Fee ( 37 CFR 1.492(a)(1)-(5) ):</b><br><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO ..... <b>\$1000</b><br><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860</b><br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but<br>international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710</b><br><br>International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690</b><br><br>International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100</b><br><br><div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div> |              |   |  | <b>CALCULATIONS PTO USE ONLY</b>  |    |
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|   |              |   |  | <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>   |    |
|   |              |   |  | <b>Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</b> |    |
|   |              |   |  | <b>Subtotal</b>   |    |
| Claims  | Number Filed | Number Extra                                    | Rate   |   |    |
| Total Claims  | 10 - 20 =    | 0   | x \$18   | \$0.00  |    |
| Independent Claims  | 1 - 3 =      | 0   | x \$80   | \$0.00  |    |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable)  |              |   | + \$270  | \$0.00  |    |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |   |  | \$1,000.00  |    |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |              |   |  | \$0.00  |    |
| <b>SUBTOTAL =</b>   |              |   |  | \$1,000.00  |    |
| Processing fee of \$130 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f))  |              |   |  | \$0.00  |    |
| <b>TOTAL NATIONAL FEE =</b>   |              |   |  | \$1,000.00  |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +  |              |   |  | \$40.00   |    |
| <b>TOTAL FEES ENCLOSED =</b>  |              |   |  | \$1,040.00  |    |
|   |              |   |  | <b>Amount to be refunded:</b>   | \$ |
|   |              |   |  | <b>Charged:</b>   | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$1,040.00 to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.   |              |   |  |   |    |
| <b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>  |              |   |  |   |    |
| SEND ALL CORRESPONDENCE TO:   |              |   |  |   |    |
| Y. Rocky Tsao<br>FISH & RICHARDSON P.C.<br>225 Franklin Street<br>Boston, MA 02110-2804<br>(617) 542-5070 phone<br>(617) 542-8906 facsimile   |              |   | <div style="text-align: right;"> <br/>           SIGNATURE:         </div> <div style="text-align: right;">           Y. Rocky Tsao<br/>           NAME         </div> <div style="text-align: right;">           34,053<br/>           REGISTRATION NUMBER         </div> |   |    |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Seok-II Yoon et al.                      Art Unit : Unknown  
Serial No. : Unassigned                              Examiner : Unknown  
Filed : Herewith  
Title : RETROREFLECTIVE ARTICLE

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PRELIMINARY AMENDMENT

Prior to examination, please amend the application as follows:

In the claims:

Amend claims 3-6 as follows:

- 3. The retroreflective article of claim 1 having an index of refraction of about 1.4 ~ 1.7.
4. The retroreflective article of claim 1 wherein an axis extending from the common point to the front surface of the retroreflective article while keeping the same angular relation to the three triangles, is tilted by  $-15^{\circ} \sim 15^{\circ}$  to an axis normal to the front surface of the retroreflective article.
5. The retroreflective article of claim 1 wherein one or more of the trigonal linear prisms are disposed along one edge.
6. The retroreflective article of claim 1 wherein the uncommon edges along the sides of the three triangles differ in length from each other.--.

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Please add claims 7-10:

--7. The retroreflective article of claim 2 having an index of refraction of about 1.4 ~ 1.7.

8. The retroreflective article of claim 2 wherein an axis extending from the common point to the front surface of the retroreflective article while keeping the same angular relation to the three triangles, is tilted by  $-15^{\circ} \sim 15^{\circ}$  to an axis normal to the front surface of the retroreflective article.

9. The retroreflective article of claim 2 wherein one or more of the trigonal linear prisms are disposed along one edge.

10. The retroreflective article of claim 2 wherein the uncommon edges along the sides of the three triangles differ in length from each other.--.

12652-004001-2493460

REMARKS

All amendments have been made to remove multiple dependency while conserving the claimed subject matter. More specifically, new claims 7-10, are subsets of original claims 3-6. Thus, no new matter has been introduced.

Attached is a marked-up version of the changes being made by the current amendment.

Claims 1-10 are now pending. Applicant submits that all of the claims are now in condition for examination, which action is requested. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 3-9-01

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**“Version with markings to show changes made”**

In the claims:

Claims 3-6 have been amended as follows:

3. The retroreflective article of claim 1 [or 2] having an index of refraction of about 1.4 ~ 1.7.
4. The retroreflective article of claim 1 [or 2] wherein an axis extending from the common point to the front surface of the retroreflective article while keeping the same angular relation to the three triangles, is tilted by  $-15^{\circ} \sim 15^{\circ}$  to an axis normal to the front surface of the retroreflective article.
5. The retroreflective article of claim 1 [or 2] wherein one or more of the trigonal linear prisms are disposed along one edge.
6. The retroreflective article of claim 1 [or 2] wherein the uncommon edges along [among] the sides of the three triangles differ in length from each other.

10/PRTS

JC02 Rec'd PCT/PTO

09/786847  
09 MAR 2001

PCT/KR00/01024

ISA/KR 27. 12. 2000

## RETROREFLECTIVE ARTICLE

### BACKGROUND OF THE INVENTION

#### (a) Field of the Invention

5           The present invention relates to a retroreflective article and, more particularly, to a retroreflective article which exhibits improved performance characteristics in retroreflective capacity and angularity.

#### (b) Description of the Related Art

10           Retroreflective articles are widely used for various purposes. For example, they are employed for use in decorations, reflection tapes for safety, reflection belts, road signs, and reflection plates for warning. Retroreflective article is based on a light retroreflection principle that is redirecting light toward opposite direction to incident direction, such a retroreflection is occurred by total internal reflection of light from three lateral  
15           sides which are mutually perpendicular in cube corner.

          Generally, the retroreflective article is formed with optically transparent materials such as glass or polymethyl metacrylate (PMMA) and is sheet-shaped with one surface processed to have continuous arrays of cube corners on the surface.

20           The term "cube corner" can be easily understood by supposing triangular pyramid deriving from cutting one corner portion of a cube. Fundamental structure of a cube corner is a triangular pyramid having a

base side of triangle and three lateral sides being perpendicular to each other, and fundamental structure of one surface of retroreflective article consists of continuous arrays of such a triangular pyramid. In particular, plan view of retroreflective article being processed to that cube-corners being types of regular triangular pyramids having regular triangle as a base planes arrange continuously on its one surface, is shown in Fig. 1.

An optical axis of the cube corner is defined as a line drawn through the apex to the base while keeping the same angular relation to the three lateral sides. A front axis direction is defined as a line extended normal to the retroreflective article sheet. The light falling on the retroreflective article in the front axis direction is well retro-reflected, whereas the light falling on the retroreflective article while deviating from the front axis direction by a predetermined angle exhibits poor retroreflective performance because it does not satisfy the necessary conditions for total internal reflection from the three lateral sides of the triangular pyramid.

The term "angularity" is commonly used to describe the retroreflective performance characteristic of the retroreflective article with respect to light falling on the retroreflective article deviating from the front axis direction by a predetermined angle. It is preferable that the retroreflective article exhibits wider angularity. Practically, it is legally regulated that the angularity of the retroreflective article for road sign should reach a predetermined degree at the front axis direction and light incidence angle of 30°.



The retroreflective article with the regular triangular pyramids(1) as shown in Fig. 1 exhibits a very narrow angularity. Fig. 2 is a graph illustrating the results of measuring the retroreflection capacity of the retroreflective article shown in Fig. 1 as a function of the light incidence angle of  $0^\circ \sim 40^\circ$  to the front axis direction. The graph of Fig. 2 is indicated in percentage in which maximum retroreflection capacity is obtained in case that lights are incident in front axis direction. As shown in Fig. 2, the fundamental retroreflective article consisting of the cube-corners being types of regular triangular pyramids exhibits roughly uniform retroreflective capacity in the light incidence angle of  $0^\circ \sim 20^\circ$  to the front axis, and has no relation with direction of incidence angle, desirably. But the retroreflective capacities rapidly drop in the light incidence angle of  $20^\circ \sim 30^\circ$  or more.

As an attempt to obtain wider angularity, it has been suggested that the shape of the regular triangular pyramids arranged on one surface of the retroreflective article sheet should be appropriately changed. The change is occurred by tilting optical axis of the cube-corner in a predetermined direction, so that the regular triangle of the base is transformed to an isosceles triangle or unequilateral triangle. Tilting optical axis of cube-corner to predetermined direction produces that retroreflection capacity deteriorates in the front axis direction, but enhances in the predetermined direction.

U. S. Patent No. 4588258 discloses a method obtaining improved retroreflection capacity by tilting optical axis of the cube-corner having

regular triangular pyramid structure. Fig. 3 is a plane view of retroreflective article based on U.S. Patent No. 4,588,258 where the optical axis of the cube corner is tilted by about  $7^{\circ} \sim 13^{\circ}$  to the front axis, and the resulting retroreflective article exhibits enhanced retroreflective capacity with respect to the light entering with the incidence angle of  $30^{\circ}$  or more in the X and Y axis directions which are mutually perpendicular and are on the retroreflective sheet plane.

Fig. 4a and Fig. 4b which are shown in order to indicate inclination of optical axis of cube-corner forming retroreflective article as shown in Fig. 3, are cross sectional view taken along the 4A and 4B line in Fig. 3, respectively. As shown in Fig. 4a and Fig. 4b, optical axis(x) has tilting angle to front direction(f) of retroreflective sheet.

Fig. 5 illustrates the results of computer-simulating the retroreflective performance characteristics of the retroreflective article consisting of the cube-corners having such a optical axis tilting. Fig. 5 is isobrightness curves indicating equal retroreflection coefficient value in case of introducing lights in several direction to retroreflective article. As shown in Fig. 5, when the light incidence angle varies from the front axis to the Y axis, suitable degree of retroreflective capacity is obtained with a light incidence angle of  $40^{\circ}$  or more. Furthermore, even when the light incidence angle varies from the front axis to the X axis, relatively better retroreflective capacity is obtained but less than that in the Y axis direction. That is, relatively wider

angularity can be obtained with respect to the X and Y axis directions. However, very narrow angularity is shown in a K axis direction which is on a middle position between the X and Y axes.

Fig. 6 shows graphs indicating results of retroreflection capacity measurements varying incident angle with respect to each two case, of which one corresponds that cube-corners included in one plane of retroreflective sheet are regular triangular pyramids and the other corresponds that cube-corners are transformed from the regular triangular pyramids to have  $9.2^\circ$  tilting from front axis of retroreflective sheet.

When the cube-corner is regular triangular pyramid, the retroreflective capacity measurement is performed by varying incidence angle with respect to the X and Y axis directions. In contrast, when the optical axis of the cube-corner is tilted by  $9.2^\circ$ , the measurement is performed by varying incidence angle with respect to the X, Y and K axis directions. As described above, when the optical axis of the cube-corner is tilted, retroreflection capacity exhibits wider angularity even though lower in near direction by the front axis with respect to the X and Y axis directions, but very narrow angularity in the K axis direction.

There is a method of tilting the optical axis by negative angle differently from the method of tilting the optical axis by  $7-13^\circ$  in U. S. Patent No. 4588258. U. S. Patent No. 2310790 discloses a retroreflective article where the angularity with respect to the light entering normal to the plane including the optical axis, is enhanced by tilting the optical axis of the cube-

corner by  $-7.4^\circ$ .

However, with such cube corners having tilted optical axes, the retroreflective capacity may be enhanced only in the predetermined light incidence direction but not in other various light incidence directions. In order to solve such a problem, a tiling technique is suggested. In the tiling technique, retroreflective articles exhibiting good retroreflection capacity in a incidence angle are tiled directionally, so that retroreflection capacity is expected to be enhanced with respect to various light incidence angle. However, with the application of the tiling technique, the total brightness drops.

In the above-described techniques, since the tilting angle of the optical axis varies in upper and lower directions, the base of the cube corner is shaped with an isosceles triangle. Alternatively, the tilting angle of the optical axis varies in right and left directions as well as upper and lower directions such that the base of the resulting cube corner is shaped with an unequilateral triangle. This is to enhance the retroreflective capacity with respect to various light incidence directions. For instance, U. S. Patent No. 5822121 discloses a retroreflective article where the base of the cube corner is shaped with an unequilateral triangle while the tilting angle of the optical axis is controlled by  $4-15^\circ$ . However, such an article involves complicated processing steps because three forms of bites should be used for processing grooves of the cube corner. Furthermore, with the cube corner having an unequilateral triangle-shaped base, it is difficult to obtain good retroreflective

capacity at increased light incidence angle.

Alternatively, a retroreflective article based on sets of different sized cube corners may be provided. U. S. Patent No. 5840406 discloses a retroreflective article where different sized cube corners are arranged at the same time by removing some parts of the cube corners such that internal light can be allowed to transmit through naturally generated surface at relatively small sized cube corner. U. S. Patent No. 5122902 also discloses a cube corner having a flat or curved cutting plane for allowing transmission of the light. However, in these techniques, the total brightness of the retroreflective article drops because the retroreflective article is structured such that the incident light is partially transmitted.

#### SUMMARY OF THE INVENTION

The present invention is derived to solve the problems as described above, and its object is to provide a retroreflective article which has good retroreflective capacity and wider angularity irrelevant to direction.

To achieve these and other objects, retroreflective article according to the present invention includes a flat front surface, and a structured rear surface displaced from the flat front surface. The structured rear surface is provided with arrays of sets of three mutually perpendicular lateral triangular sides, and a plurality of trigonal linear prisms. The three lateral triangular sides have a common apex. The linear prisms are disposed along at least one of uncommon linear base edges among the three lateral triangular sides.

The uncommon linear base edges among the three lateral triangular sides may or may not exist in common surface.

The retroreflective article is preferably formed with materials having an index of refraction of about 1.4 ~ 1.7.

5           An optical axis of polyhedron being practically type of cube-corner is tilted by  $-15^{\circ} \sim 15^{\circ}$  to a normal to the flat front surface.

When the trigonal linear prisms are disposed along at least one or more of base edges of the polyhedron being practically type of cube-corner, it is preferable that one or more of the trigonal linear base prisms are  
10           disposed with respect to a side.

It is preferable that the base edges of polyhedron being practically type of cube-corner differ in length from each other.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a plan view of retroreflective article being processed to that  
15           cube-corners being types of regular triangular pyramids arrange continuously on its one surface;

Fig. 2 is a graph illustrating the results of measuring the retroreflection capacity of the retroreflective article shown in Fig. 1 as a function of the light incidence angle of  $0^{\circ} \sim 40^{\circ}$  to the front axis direction;

20           Fig. 3 is a plan view of a retroreflective article according to prior art;

Fig. 4a and Fig. 4b are cross sectional view taken along the 4A and 4B line of Fig. 3, respectively;

Fig. 5 is isobrightness curves indicating the results of computer-simulating the retroreflective performance characteristics of the retroreflective article as shown in Fig. 3;

Fig. 6 shows graphs indicating results of retroreflection capacity measurements varying incident angle with respect to each two case, of which one corresponds that the cube-corner is regular triangular pyramid and the other corresponds that the cube-corner is transformed from the regular triangular pyramid such that the optical axis of the cube-corner is tilted by  $9.2^\circ$  from front axis of retroreflective sheet;

Fig. 7 is a plan view of retroreflective article being processed to have structured rear surface according to one embodiment of the present invention;

Fig. 8a and Fig. 8b are cross sectional view taken along the 8A and 8B line of Fig. 7, respectively;

Fig. 9 is a plan view of retroreflective article being processed to have trigonal linera prism disposed along one direction according to the other embodiment of the present invention;

Fig. 10a and Fig. 10b are cross sectional view taken along the 10A and 10B line of Fig. 9, respectively;

Fig. 11 is isobrightness curves indicating the results of computer-simulating the retroreflective performance characteristics of the retroreflective article according to one embodiment of the present invention;

Fig. 12a shows graphs indicating results of retroreflection capacity

measurements varying incident angle to X axis and Y axis with respect to the retroreflective article according to embodiment 1 of the present invention;

Fig. 12b shows graphs indicating results of retroreflection capacity measurements varying incident angle to K axis with respect to the retroreflective article according to embodiment 1 of the present invention;

Fig. 13 shows graphs indicating results of retroreflection capacity measurements varying incident angle to X axis and Y axis with respect to the retroreflective article according to embodiment 2 of the present invention;

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Detailed explanation of the present invention with reference to the accompanying drawings will be followed.

A retroreflective article according to the present invention is formed with optically transparent materials such as glass, polymethyl metacrylate (PMMA), having a refractive index of 1.4~1.7, and is generally fabricated to sheet-shape with a flat front surface and a rear structured surface with prominent and depressed portions.

In the present invention, optically transparent materials such as glass, polymethyl metacrylate (PMMA), polycarbonate, ultraviolet solidifying resin or acryl and materials having a refractive index of 1.4~1.7 are used, and the processing method of the rear surface of the retroreflective article to have prominent and depressed portions is a grooving technique like as conventional technique.



Fig. 7 is a plan view of retroreflective article being processed to have structured rear surface according to one embodiment of the present invention. As shown in Fig. 7, the structured rear surface of retroreflective article includes continuous arrays of three mutually perpendicular triangles( $11\alpha$  ,  $11\beta$  and  $11\gamma$  ) having a common apex(10), and trigonal prisms( $12\alpha$  ,  $12\beta$  ). The trigonal prisms( $12\alpha$  ,  $12\beta$  ) are disposed along at least one or more of the uncommon edges( $13\alpha$  ,  $13\beta$  ,  $13\gamma$  ) among the sides of three lateral triangles( $11\alpha$  ,  $11\beta$  ,  $11\gamma$  ). The continuous arrays of the three mutually perpendicular triangles( $11\alpha$  ,  $11\beta$  and  $11\gamma$  ) having a common apex(10) make a shape similar to the cube-corner as described above, so that the trigonal prisms( $12\alpha$  ,  $12\beta$  ) are linearly disposed along the base edges of the cube-corner. The reason of referring the shape similar to the cube-corner is that a shape different to the cube-corner is possible to be made according to the embodiment of the present invention and that is described later. Namely, in the present invention, one surface of the retroreflective article is processed to have the shape of the trigonal prism as well as the shape similar to the cube-corner. Such a trigonal prism will be hereinafter referred to as the trigonal linear prism.

An angularity of the retroreflective article is enhanced in normal to arrangement direction of the trigonal linear prism. In Fig. 7, a pair of trigonal linear prisms( $12\beta$  ) is disposed along the edge normal to the Y axis ( $13\beta$  ) of the uncommon three edges( $13\alpha$  ,  $13\beta$  and  $13\gamma$  ) among the three

triangular sides( $11\alpha$  ,  $11\beta$  ,  $11\gamma$  ) in a plane, whereas a trigonal linear prism( $12\alpha$  ) is disposed along the remaining edges( $13\alpha$  and  $13\gamma$  ). That is, one or more of the trigonal linear prisms may be disposed along one edge, and this may be applied to the other embodiment hereafter.

5           When an optical axis is defined as a line extended from the common apex to the front surface of the retroreflective article while keeping the same angular relation to the three triangles, the optical axis is not necessarily consistent with but tilted by  $-15^\circ \sim 15^\circ$  to the front direction of the retroreflective article. In the same way, when an optical axis in the trigonal  
10 linear prism is defined as a line extended from a point on the common edges of two lateral sides to the front surface of the retroreflective article while keeping the same angular relation to the two lateral sides, the optical axis of the prism is not necessarily consistent with but tilted by predetermined angle to the front direction of the retroreflective article. This is applied to the other  
15 embodiment hereafter. Such a tilting angle of the optical axis is well shown in Fig. 8a and Fig. 8b which are cross sectional view taken along the 8A and 8B line of Fig. 7, respectively.

As shown in Fig. 8a and Fig. 8b, the optical axis of the triangle ( $X1$ ,  $X1'$ ) and the optical axis of the linear prism ( $X2$ ,  $X2'$ ) are tilted by a  
20 predetermined angle to the front axis direction (F). Supposing the extended drawing of Fig. 8a toward the right direction, tilting the optical axis to the parallel direction with  $X1$  following the  $X1'$  and then tilting again the optical

axis to the parallel direction with the  $X1'$  can be produce the enhancement of the retroreflection capacity. That is, it is preferable that the optical axis parallel with the  $X1$  and  $X1'$  appears repeatedly in Fig. 8a, and such a repetition is also preferable in Fig. 8b. A pair of prisms( $12\beta$ ) is shown in Fig. 8a whereas a prism( $12\alpha$ ) is shown in Fig. 8b.

The uncommon three edges( $13\alpha$ ,  $13\beta$  and  $13\gamma$ ) among the sides of three triangles( $11\alpha$ ,  $11\beta$ ,  $11\gamma$ ) may have different length, this is applied to the other embodiment hereafter.

In order to enhance the retroreflection capacity to a certain direction, trigonal linear prism may disposed along the one edge of the uncommon three edges( $13\alpha$ ,  $13\beta$  and  $13\gamma$ ) among the sides of three triangles( $11\alpha$ ,  $11\beta$ ,  $11\gamma$ ). Fig. 9 is a plan view of retroreflective article in such a case. In retroreflective article as shown in Fig. 9, in order to enhance the retroreflection capacity to Y axis direction, a pair of prisms( $12\beta$ ) is disposed along the one edge of the uncommon three edges( $13\alpha$ ,  $13\beta$  and  $13\gamma$ ) among the sides of three triangles( $11\alpha$ ,  $11\beta$ ,  $11\gamma$ ) which are mutually perpendicular and have one common point.

Here, when two triangle( $11\alpha$ ,  $11\gamma$ ), except one triangle( $11\beta$ ) along which prism is disposed, among three triangles ( $11\alpha$ ,  $11\beta$ ,  $11\gamma$ ) are formed, deeper grooving is required for total arrangement. In such a case, since two edges( $13\alpha$ ,  $13\gamma$ ) along which prisms are not disposed exist in deeper position than the edge( $13\beta$ ) along which prism is disposed, the

resultant body has a shape different from the cube-corner. Additional area occurring by the deeper grooving contributes to retroreflection, thus the retroreflection capacity is enhanced.

It is well described in Fig. 10a and Fig. 10b which are cross sectional  
5 view taken along the 10A and 10B line of Fig. 9, respectively, that the depth of two edges along which prisms are not disposed and the depth of the edge along which prism are disposed are dissimilar to each other. As shown in Fig 10a and Fig. 10b, the edge(13 $\beta$ ) along which prism are disposed is positioned in the thickness t1 of the retroreflective article, whereas the  
10 edges(13 $\alpha$ , 13 $\gamma$ ) along which prisms are not disposed are positioned in the thickness t2 of the retroreflective article.

The following examples further illustrate the present invention.

#### Example 1

The retroreflective article shown in Fig. 9 was fabricated by using  
15 polymer material having a refractive index 1.58. The total width (indicated by a reference symbol p1 in Fig. 9) of the pair of the trigonal linear prisms was established to be 100  $\mu\text{m}$ . The distance (indicated by a reference symbol gd, gv in Fig. 9) between each groove was established to be 445  $\mu\text{m}$  and 423  $\mu\text{m}$ . The optical axis in the polyhedron consisted of three triangles  
20 which are mutually perpendicular and have one common point was tilted by 3° to the front axis of the retroreflective article. The optical axes of the pair of the linear prisms were tilted by 18° to the front axis in the Y and -Y axis

directions, respectively. The positional thickness difference between the edge with the trigonal linear prism and the edge without the trigonal linear prism, of the uncommon three edges by the neighboring two triangles among the sides of three triangles which are mutually perpendicular and have one common point, was established to be about  $19.72 \mu\text{m}$ .

The results of computer simulating the retroreflective performance of the retroreflective article according to the example 1 are illustrated in Fig. 5. Fig. 5 is a set of isobrightness curves plotting the retroreflective capacity of the retroreflective article as a function of the light incidence angle. As shown in Fig. 11, the isobrightness curves are shaped approximately with a circle. These results exhibit that wider angularity of the retroreflective article is secured in the X, Y and K axis directions. As compared with the isobrightness curves of the prior art-based retroreflective article shown in Fig. 5, it can be known that the inventive retroreflective article has a better angularity characteristic.

Fig. 12a is a graph illustrating the retroreflective capacity of the retroreflective article according to the example 1 as a function of the light incidence angle variation in the X and Y axis directions. As compared with the graph for the above described U. S. Patent No. 4,588,258 as shown in Fig. 6, it can be known that the inventive retroreflective article according to the example 1 has a better retroreflective capacity in the X and Y axis directions.

Fig. 12b is a graph illustrating the retroreflective capacity of the

retroreflective article according to the example 1 as a function of the light incidence angle variation in the K axis direction. As compared with the graph for the above described U. S. Patent No. 4, 588, 258 as shown in Fig. 6, it can be known that the inventive retroreflective article according to the example 1 has a better retroreflective capacity in the K axis directions with highly increased angularity.

#### Example 2

The retroreflective article shown in Fig. 7 was fabricated by using polymer material having a refractive index of 1.58. The total width (indicated by a reference symbol p2 in Fig. 7) of the pair of the prisms arranged along the direction normal to the Y axis was established to be 100  $\mu\text{m}$ , and the width (indicated by a reference symbol p1' in Fig. 7) of the linear prism arranged along the remaining two direction was established to be 52.6  $\mu\text{m}$ . The distance (indicated by a reference symbol gd', gv' in Fig. 7) between each groove was established to be 615  $\mu\text{m}$  and 584  $\mu\text{m}$ . The pair of the trigonal linear prisms arranged along the direction normal to the Y axis is to secure wider angularity in the Y axis direction. The optical axis in the polyhedron consisted of three triangles which are mutually perpendicular and have one common point was tilted by 3° to the front axis of the retroreflective article. The optical axis of the prism was established to agree with the front axis.

Fig. 13 is a graph illustrating the retroreflective capacity of the

retroreflective article according to the example 2 as a function of the light incidence angle. As compared with the graph for the above described U. S. Patent No. 4, 588, 258 as shown in Fig. 6, it can be known that the inventive retroreflective article according to the example 2 has better retroreflective capacity in the X and Y axis directions with similar angularity.

Therefore, the retroreflective article according to the present invention has a better retroreflective capacity than that of the prior art with highly increased angularity.

The retroreflective article according to the present invention has a good retroreflective capacity in the K axis directions as well as the X and Y axis directions with wide angularity. Thus, since directional restriction is not required in applying the retroreflective article, use of the retroreflective article becomes convenient.

Since degree of freedom in designing the retroreflective article is large, the retroreflective article having different retroreflection capacity according to the application field is possible to fabricate.

**WHAT IS CLAIMED IS:**

1. A retroreflective article having a flat front surface and a structured rear surface, the structured rear surface including;

continuous arrangement of three triangles which are mutually  
5 perpendicular and have one common point; and

trigonal linear prism disposed along at least one of the uncommon edges among the sides of the three triangles.

2. The retroreflective article of claim 1 wherein the uncommon edges among the sides of the three triangles lie in a common plane or different  
10 planes.

3. The retroreflective article of claim 1 or 2 having an index of refraction of about 1.4 ~ 1.7.

4. The retroreflective article of claim 1 or 2 wherein an axis extending from the common point to the front surface of the retroreflective article while  
15 keeping the same angular relation to the three triangles, is tilted by  $-15^{\circ} \sim 15^{\circ}$  to an axis normal to the front surface of the retroreflective article.

5. The retroreflective article of claim 1 or 2 wherein one or more of the trigonal linear prisms are disposed along one edge.

6. The retroreflective article of claim 1 or 2 wherein the uncommon  
20 edges among the sides of the three triangles differ in length from each other.



FIG. 1

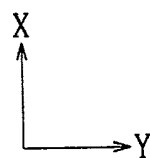
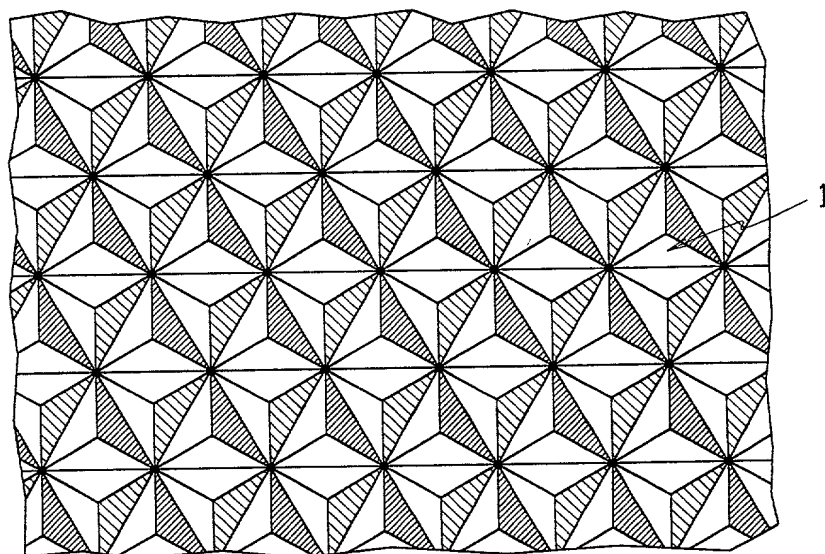


FIG.2

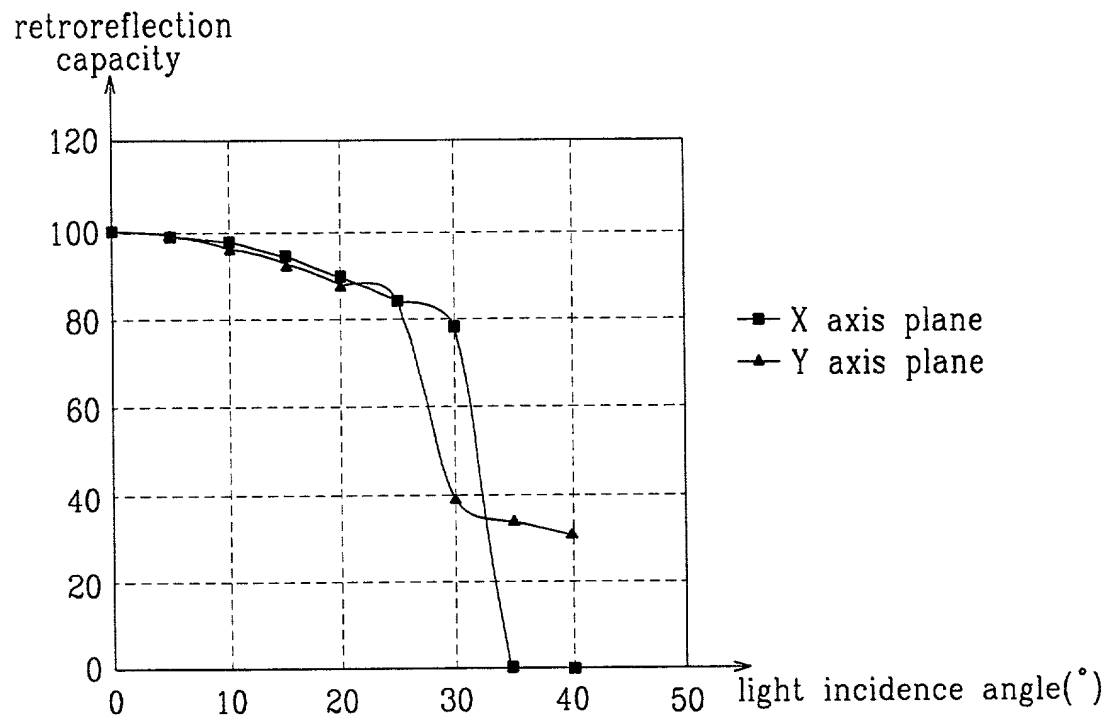


FIG.3

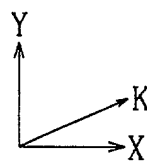
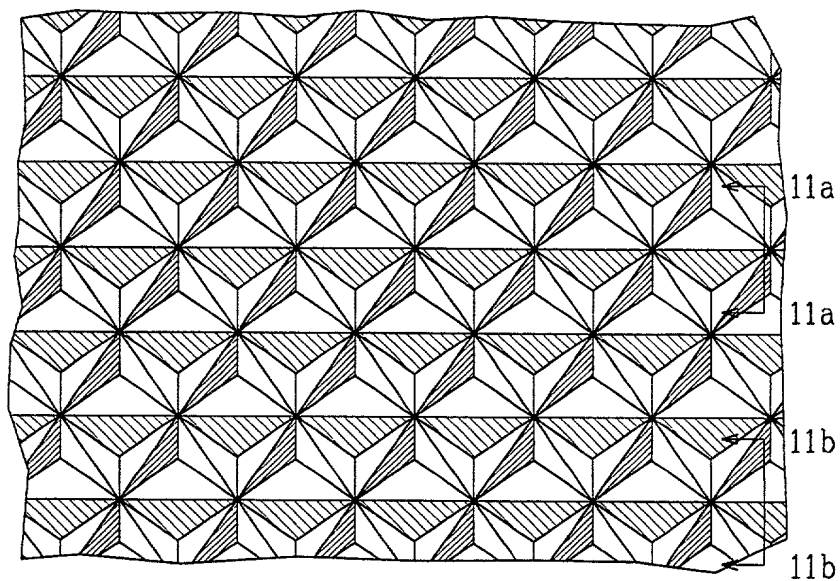


FIG. 4A

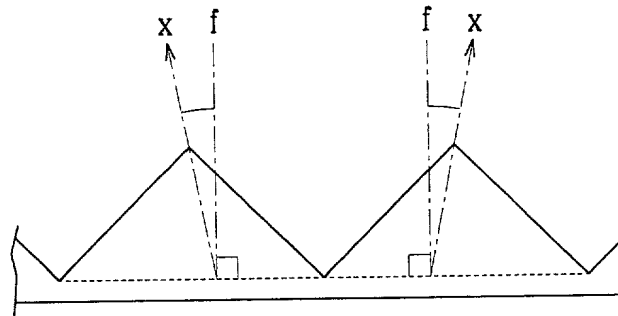


FIG. 4B

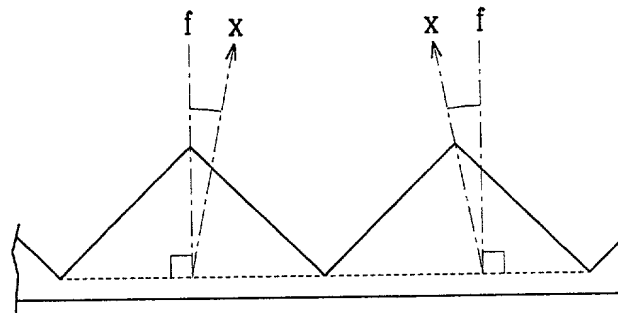


FIG.5

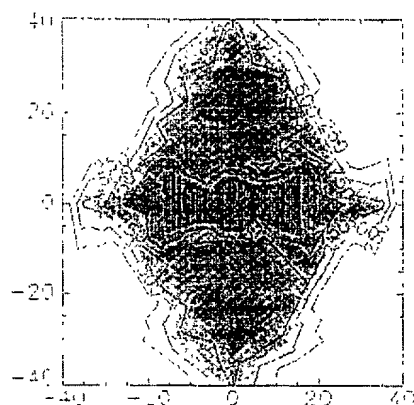


FIG.6

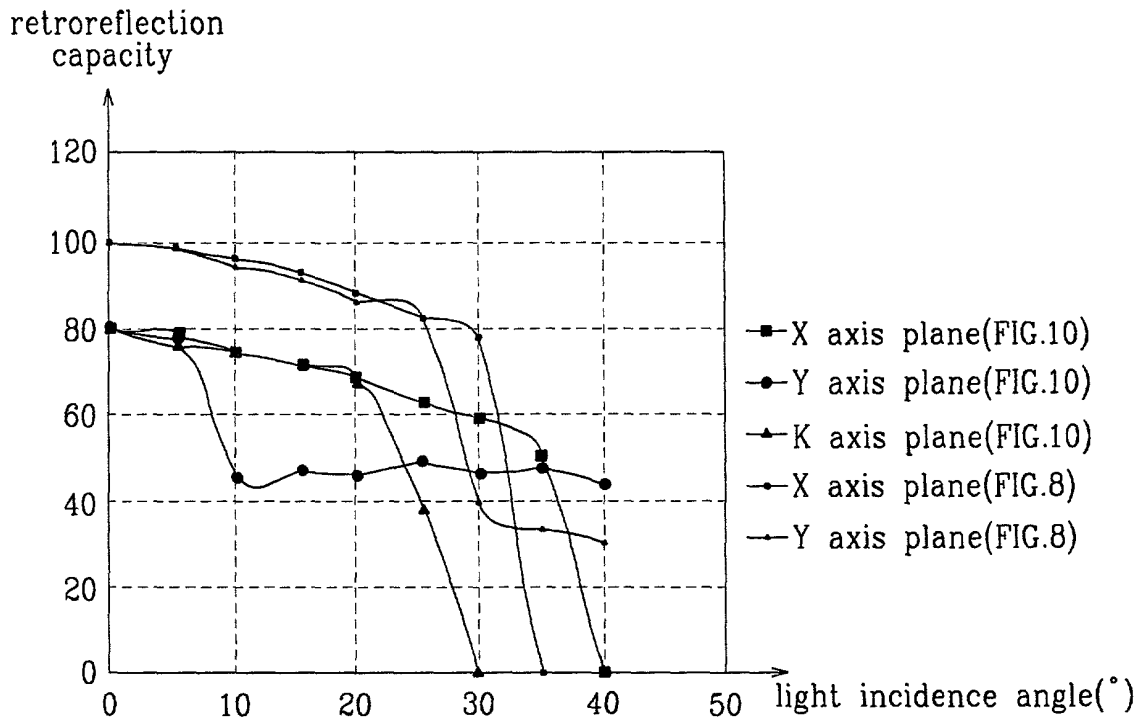


FIG. 7

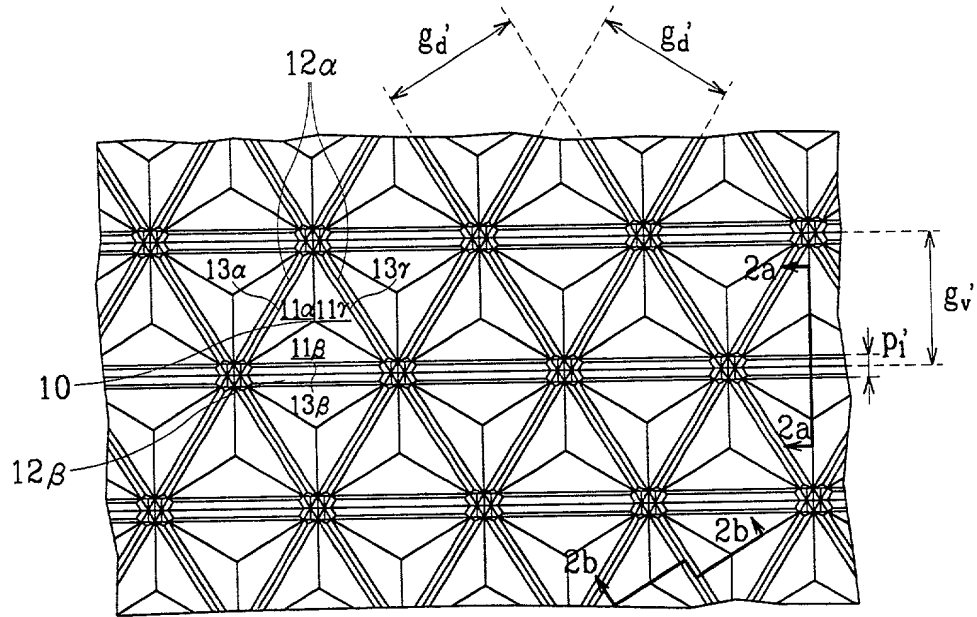


FIG.8A

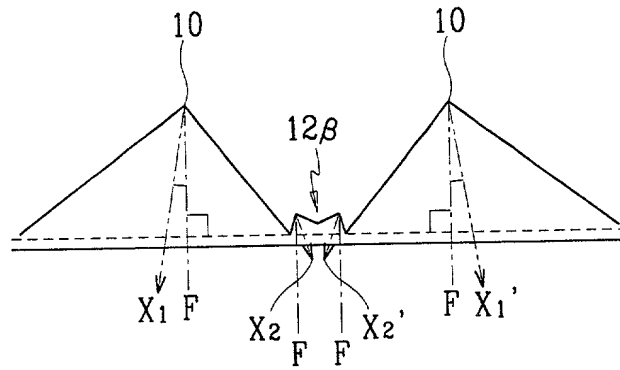


FIG.8B

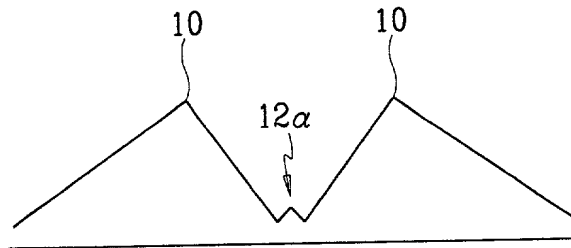
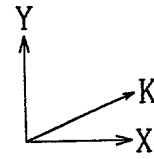
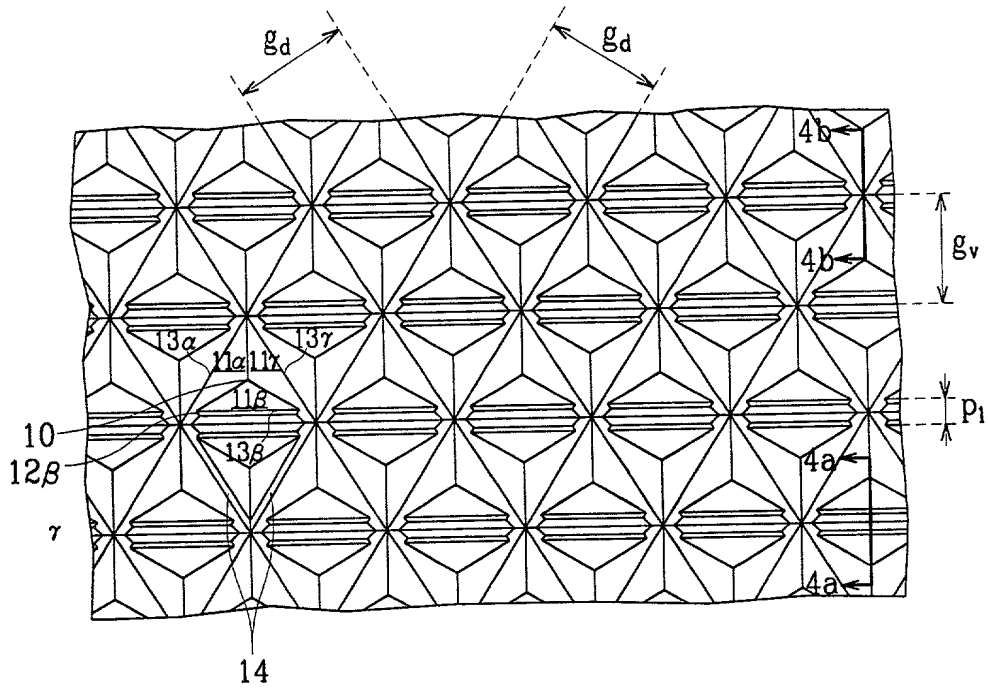




FIG.9



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FIG. 10A

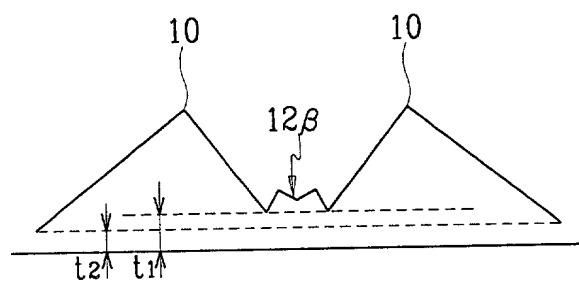


FIG. 10B

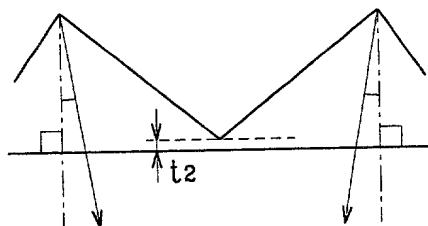
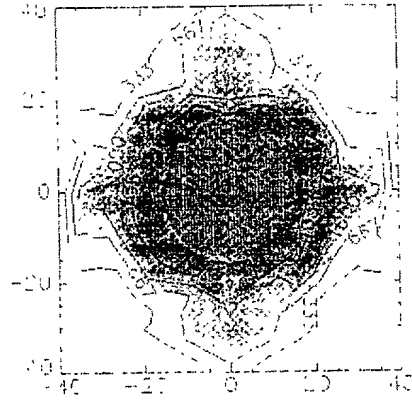


FIG.11

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FIG.12A

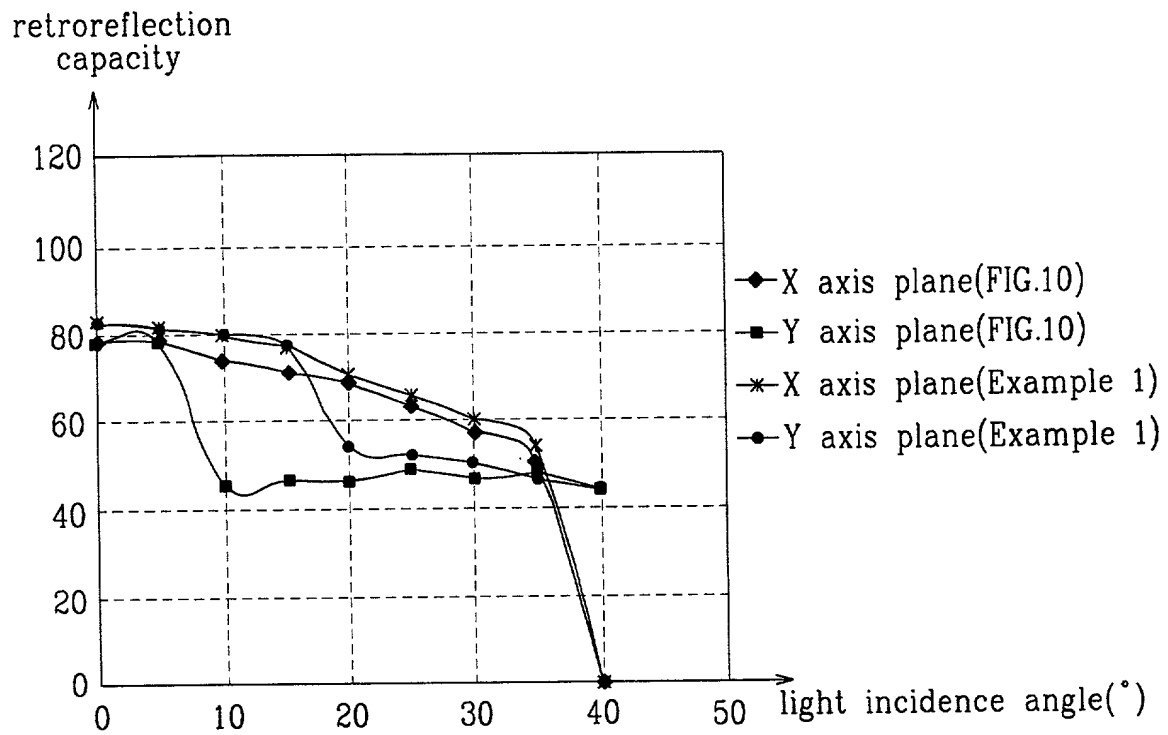


FIG.12B

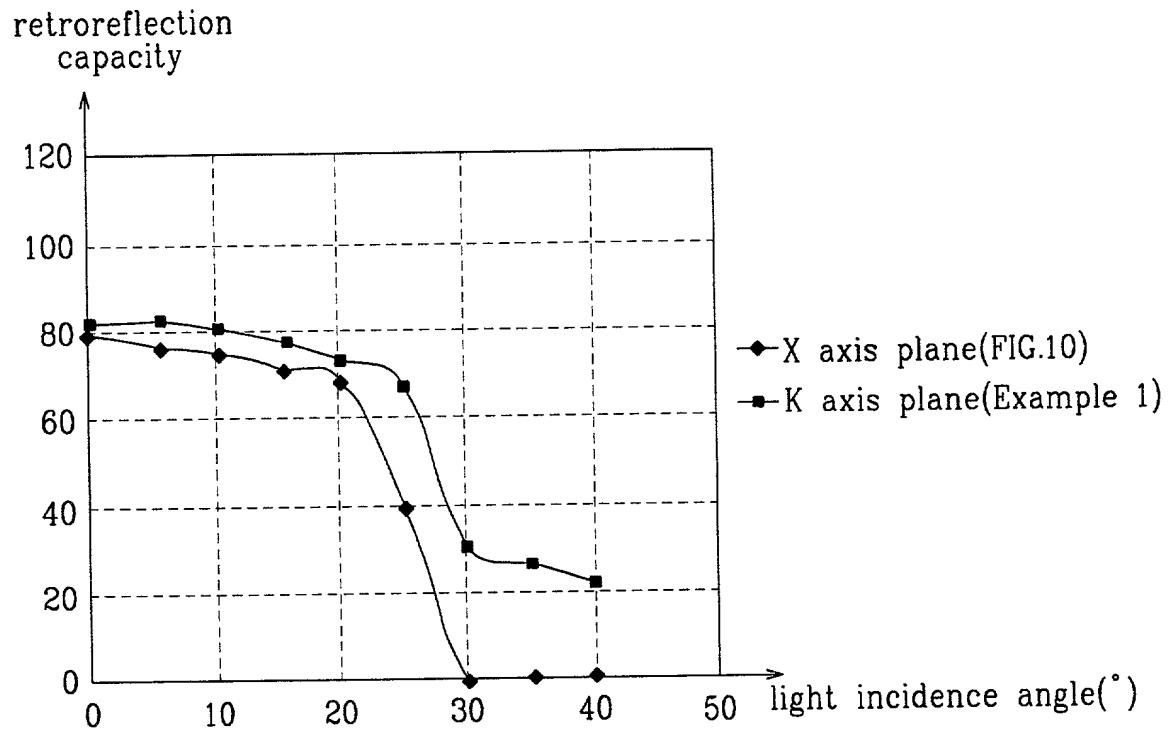
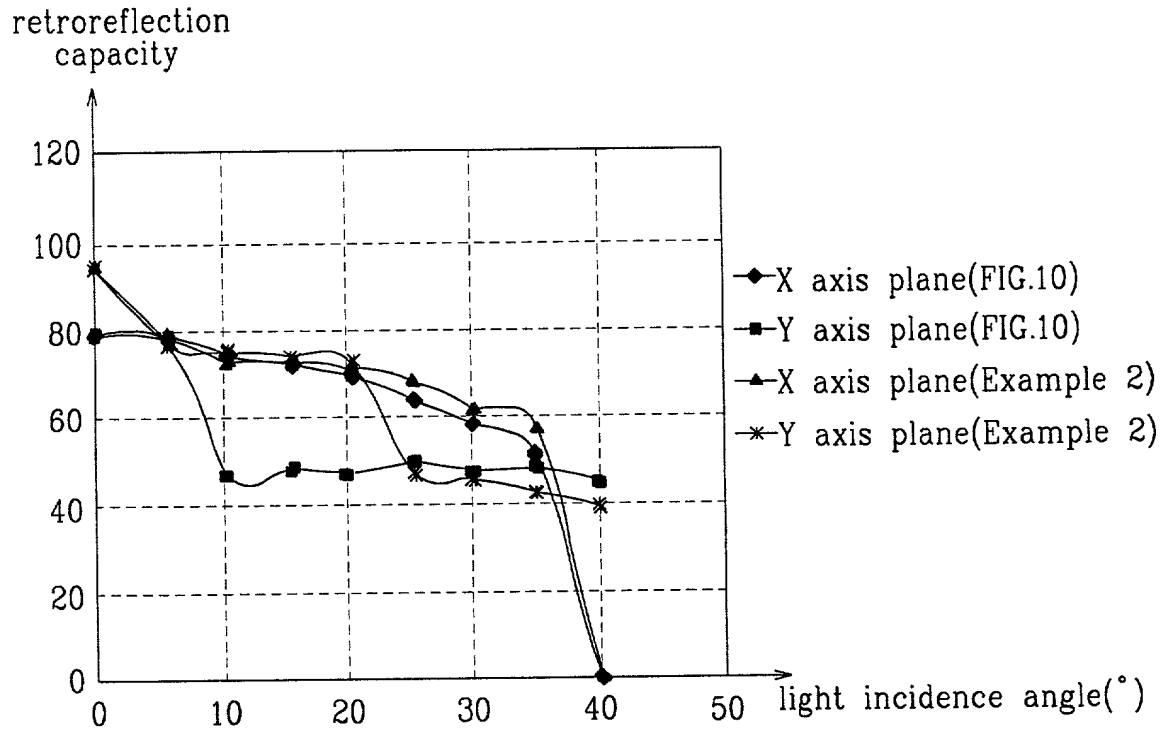


FIG. 13



## COMBINED DECLARATION AND POWER OF ATTORNEY

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled RETROREFLECTIVE ARTICLE; the specification of which

X is attached hereto.

\_\_\_\_\_ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

### Prior Foreign Application(s):

|                |         |                    |     |    |
|----------------|---------|--------------------|-----|----|
| 99-38822       | Korea   | September 11, 1999 | X   |    |
| Number         | Country | Date Filed         | Yes | No |
| PCT/KR00/01024 | WIPO    | September 8, 2000  |     |    |
| Number         | Country | Date Filed         | Yes | No |

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Y. Rocky Tsao, Reg. No. 34,053; Eric L. Pahl, Reg. No. 32,590; David L. Feigenbaum, Reg. No. 30,378; Gilbert H. Hennessey, Reg. No. 25,759; Robert E. Hillman, Reg. No. 22,837; G. Roger Lee, Reg. No. 28,963; Richard M. Sharkansky, Reg. No. 25,800; Rene D. Tegtmeyer, Reg. No. 33,567; John N. Williams, Reg. No. 18,948; Charles C. Winchester, Reg. No. 21,040; and Frank R. Occhiuti, Reg. No. 35,306.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

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